| SEC Form 4 |  |
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FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| OMB APPROVAL |
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| OMB Number:         | 3235-0287 |
|---------------------|-----------|
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|   |                          |                            | Washington, D.C. 20349  |   |                                | OMB                       | APPROVAL                            |
|---|--------------------------|----------------------------|---|---|--------------------------------|---------------------------|-------------------------------------|
| Section 16. Form 4 or Form 5<br>obligations may continue. See |                          |                            | NT OF CHANGES IN BENEFICIAL OWNE  | OMB Number<br>Estimated ave<br>hours per resp | erage burden                   |                           |                                     |
|   |                          | -                          | or Section 30(h) of the Investment Company Act of 1940  |   |                                |                           |                                     |
| 1. Name and Addres <u>Smull L Lynn</u>                        |                          | n*                         | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br>Gevo, Inc. [GEVO]   |   | all applicabl                  | ,                         | 10% Owner                           |
| (Last)<br>C/O GEVO, INC                                       | (First)<br>. 345 INVERNE | (Middle)<br>SS DRIVE SOUTH | 3. Date of Earliest Transaction (Month/Day/Year)<br>08/03/2023  | X   | Officer (giv<br>below)<br>Chie | ve title<br>f Financial ( | Other (specify<br>below)<br>Officer |
| BUILDING C, S   | UITE 310                 |                            | 4. If Amendment, Date of Original Filed (Month/Day/Year)  | 6. Indiv<br>Line)                             | idual or Join                  | t/Group Filing            | (Check Applicab                     |
| (Street)  |                          |                            |   | X   | Form filed                     | by One Repor              | ting Person                         |
| ENGLEWOOD   | СО                       | 80112                      |   |   | Form filed<br>Person           | by More than              | One Reporting                       |
| (City)  | (State)                  | (Zip)                      | Rule 10b5-1(c) Transaction Indication   |   |                                |                           |                                     |
|   |                          |                            | Check this box to indicate that a transaction was made pursuant to a satisfy the affirmative defense conditions of Rule 10b5-1(c). See Inst |   |                                | written plan tha          | t is intended to                    |
|   | Т                        | able I - Non-Deriva        | ative Securities Acquired, Disposed of, or Benefi   | cially (                                      | Dwned                          |                           |                                     |

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) 2A. Deemed<br>Execution Date<br>if any<br>(Month/Day/Year) |  | cution Date,<br>ny Code (Instr. |   |         |               |       | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |
|---------------------------------|---|--|---------------------------------|---|---------|---------------|-------|---|---|---|
|                                 |   |  | Code                            | v | Amount  | (A) or<br>(D) | Price | Transaction(s)<br>(Instr. 3 and 4)  |   | (Instr. 4)  |
| Common Stock <sup>(1)</sup>     | 08/03/2023  |  | A                               |   | 207,222 | Α             | \$0   | 976,451   | D   |   |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|   | (eigi, pare, care, marane, epicere, convertible coountee)             |  |   |                                 |   |            |     |  |                    |   |                                     |   |  |  |  |
|---|---|--|---|---------------------------------|---|------------|-----|--|--------------------|---|-------------------------------------|---|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transac<br>Code (Ir<br>8) |   | Derivative |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |                                     | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |   |  |   | Code                            | v | (A)        | (D) | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |   | Transaction(s)<br>(Instr. 4)                                 |  |  |
| Stock<br>Option                                     | \$1.75  | 08/03/2023                                 |   | A                               |   | 207,222    |     | (2)  | 08/02/2033         | Common<br>Stock   | 207,222                             | \$ <mark>0</mark>                                   | 207,222  | D  |  |

## Explanation of Responses:

1. Represents restricted common stock that vests in three equal annual installments beginning on the first anniversary of the grant date, provided that the reporting person remains in continuous service with the issuer as of each vesting date.

2. Represents stock options that vest in three equal annual installments beginning on the first anniversary of the grant date, provided that the reporting person remains in continuous service with the issuer as of each vesting date.

## **Remarks:**

## /s/ Geoffrey T. Williams, Jr., Attorney-in-Fact

08/04/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.