# SEC Form 4

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# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

#### OMB APPROVAL OMB Number: 3235-0287

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	Estimated average burden		
	hours per response:		0.5

1. Name and Addres <u>Mize Gary W.</u>	1 8	n*	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Gevo, Inc.</u> [ GEVO ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) GEVO, INC., 34	(First) 5 INVERNESS D	(Middle) RIVE SOUTH	3. Date of Earliest Transaction (Month/Day/Year) 10/31/2016	X	Director Officer (give title below)	10% Owner Other (specify below)			
BUILDING C, SUITE 310			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	(Check Applicable				
(Street) ENGLEWOOD	reet) NGLEWOOD CO 80112			X	Form filed by One Repo Form filed by More than Person	0			
(City)	(State)	(Zip)							

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)			ction Instr.	4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(11311 4)
Common Stock	10/31/2016		М		357	A	(1)	11,839	D	
Common Stock	10/31/2016		F		114 <sup>(2)</sup>	D	\$ <mark>0.4</mark>	11,725	D	

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Nu of Deriv Secu Acqu (A) of Dispo of (D) (Instr and 5	vative rities lired r osed ) 7. 3, 4	Expiration Date Am (Month/Day/Year) Sec Unc Der		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(1)	10/31/2016		М			357	(3)	(3)	Common Stock	357	\$0.00	7,487	D	

Explanation of Responses:

1. Restricted Stock Units convert into common stock on a one-for-one basis

2. The disposition represents the net settlement of shares upon the vesting of restricted stock units.

3. On September 16, 2015, the reporting person was granted restricted stock units and options that will vest monthly for three years beginning July 29, 2015.

**Remarks:** 

### /s/ Geoff Williams, as

Attorney-in-Fact

10/31/2016

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.